



MAURICE TRAPP GROUP
A certain future

Disclosure Statement (Financial Adviser)

Name of Financial Adviser: Chris Brown

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Trading Names: Maurice Trapp Group Limited, Maurice Trapp Group (Tauranga) Ltd, Bassett-Smith Brokers Ltd

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This disclosure statement was prepared on 6th September 2018

It is important that you read this document

This information will help you to choose a financial adviser that best suits your needs. It will also provide some useful information about the financial adviser that you choose.

What sort of adviser am I?

I am a registered, but not authorised, financial adviser. I can give you advice about: category 2 financial products such as Personal & Business Life, Disability & Medical insurances (Term Life, Trauma, Total Permanent Disablement, Share Purchase, Key Person, Debt Protection, Business Revenue Protection, Income Protection, Mortgage Repayment, Health/Medical & Group Life).

What should you do if something goes wrong?

If you have a problem, concern, or complaint about any part of my service, please contact my internal complaints scheme so that my internal complaints scheme can try to fix the problem.

You may contact the internal complaints scheme by phoning 09 630 5884 by e-mailing feedback@mauricetrapp.com – or in writing to Maurice Trapp Group Ltd, PO Box 56004, Dominion Road, Mt Eden, Auckland 1446. Please explain what your complaint is and provide all details requested.

If we cannot agree on how to fix the issue, or if you decide not to use the internal complaints scheme, you can contact Financial Dispute Resolution Service. This service will not cost anything, and will help us resolve any disagreements. You can contact *FDRS*:

Address: P O Box 2272, Wellington 6140
Telephone number: 0508 337 337
Email address: enquiries@fdrs.org.nz

How am I regulated by the Government?

You can check that I am a registered financial adviser at <http://www.fspr.govt.nz>

The Financial Markets Authority regulates financial advisers. Contact the Financial Markets Authority for more information, including financial tips and warnings.

You can report information or complain about my conduct to the Financial Markets Authority, but in the event of a disagreement, you may choose to first use the dispute resolution procedures described above (under **What should you do if something goes wrong?**).

Declaration

I, **Chris Brown** declare that, to the best of my knowledge and belief, the information contained in this disclosure statement is true and complete and complies with the disclosure requirements in the Financial Advisers Act 2008 and the Financial Advisers (Disclosure) Regulations 2010.

Signed:

Date: